

Natural Products from Plant-Associated Microorganisms: Distribution, Structural Diversity, Bioactivity, and Implications of Their Occurrence¹

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A growing body of evidence suggests that plant-associated microorganisms, especially endophytic and rhizosphere bacteria and fungi, represent a huge and largely untapped resource of natural products with chemical structures that have been optimized by evolution for biological and ecological relevance. A diverse array of bioactive small molecule natural products has been encountered in these microorganisms. The structures of over 230 metabolites isolated and characterized from over 70 plant-associated microbial strains during the past four years are presented with information on their hosts, culture conditions, and biological activities. Some significant biological and ecological implications of their occurrence are also reviewed.

Introduction

Among all known producers of small molecule natural products, microorganisms represent a rich source of biologically active metabolites that find wide-ranging applications as agrochemicals, antibiotics, immunosuppressants, antiparasitics, and anticancer agents. Unlike other organisms, microbes occupy all living and nonliving niches on earth including arctic, antarctic, and alpine regions, deserts, deep rock sediments, marine environments, and even thermal vents. Microorganisms, commonly isolated from soil, can also be found in live plants, leaf litter, and dung.¹ It has been estimated that less than 1% of bacterial species and less than 5% of fungal species are currently known, suggesting that millions of microbial species remain to be discovered.² There is evidence that the currently available culture techniques are capable of uncovering only a minuscule fraction of soil microbes.³ Thus, recent developments of techniques for cultivating and identifying microorganisms involving application of parallel cultivation of gel-encapsulated single cells,⁴ and the approach involving isolation of DNA directly from soil (environmental DNA, eDNA), making cosmid libraries of eDNA in *E. coli*, and screening these libraries for clones with the ability to biosynthesize bioactive natural products,⁵ and the incorporation of host plant extracts to culture media⁶ are significant advances toward characterizing metabolites produced by “difficult-to-culture” and “unculturable” soil and plant-associated microorganisms. Another significant recent development in the field of microbial natural products is the demonstration of the potential to bring up a variety of new metabolites from a single strain of a microorganism by systematic alteration of its cultivation parameters, known as OSMAC (one strain many compounds) approach.⁷

Historically, of all microorganisms studied, Actinomycetes and fungi have been found to be the most prolific producers of secondary metabolites. It has been suggested that fungi are fundamental to the health and prosperity of every terrestrial ecosystem and are essential for their sustainability and biodiversity.^{8a,b} Evolutionary biologists have long appreciated that fungal life cycles are intricately and ubiquitously linked with those of plants. There is ample circumstantial evidence to suggest that symbiosis of fungi and plants assisted in the original invasion of plants into early harsh terrestrial environments that were poor in nutrients, subject to constant desiccation, and poorly shaded, exposing these habitats to the bright light of the day.^{9a} Although recent advances in fungal systematics have outdated some of the evolutionary speculations that led to the above proposition, the basic premise that symbiotic

relationships between arbuscular mycorrhizal (AM) fungi and the roots of higher plants were essential in the migration of plants to land continues to be widely accepted.^{9b}

Microorganisms have the ability to utilize various solid substrates as a consequence of diversity of their biological and biochemical evolution; the solid substrates utilized by microorganisms include, among others, live plants. Both bacteria and fungi are known to collaborate with many plants to form mutually beneficial (mutualistic) associations. The purpose of this review is to summarize the distribution, chemistry, and biological functions of natural products of microorganisms that live in close association with terrestrial and mangrove plants. These include endophytic and rhizosphere microorganisms. This review covers the literature available in *Chemical Abstracts* up to November 2005 and contains brief discussions of distribution, structural diversity, biological activities, significance, and implications of the occurrence of natural products of plant-associated microorganisms.

Endophytic Microorganisms

The term endophyte refers to a bacterial or a fungal microorganism that colonizes interior organs of plants, but does not have pathogenic effects on its host(s). In their symbiotic association, the host plant (macrophyte) protects and feeds the endophyte, which “in return” produces bioactive metabolites to enhance the growth and competitiveness of the host and to protect it from herbivores and plant pathogens.¹⁰ Among endophytic microorganisms endophytic fungi, consisting mostly of Ascomycetes and Fungi Imperfecti, represent one of the largest—conservatively 1.5×10^6 species—and relatively untapped resource of biologically active small molecule natural products.¹¹ Although the first discovery of an endophyte was made as far back as 1904,¹² this group of microorganisms did not receive much attention until the recent realization of their ecological relevance and the potential of yielding metabolites with diverse structures and biological functions. Thus, during the past two decades over 100 endophytic microorganisms have been cultured and subjected to detailed investigations leading to the chemical characterization and biological evaluation of a large number of natural products, many of which have been shown to have novel structures and interesting biological activities.

Current interest in natural products from endophytic microorganisms is evident from the number of review articles that have appeared in the recent literature. The first of these by Gusman and Vanhaelen¹³ described secondary metabolites of 38 endophytic fungi together with their biological activities. In a more comprehensive review, Tan and Zou¹⁴ have presented 138 secondary metabolites of endophytes characterized before the year 2000. The reviews by Schulz et al.,¹⁵ Strobel,^{16,17} and Strobel et al.^{18a} deal primarily with the work on endophytes carried out in their own laboratories. More spec-

¹ Dedicated to Dr. Norman R. Farnsworth of the University Illinois at Chicago for his pioneering work on bioactive natural products.

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Table 1. Natural Products of Endophytic Microorganisms^a

microbial strain ^b	plant host(s) (family); ^b plant part or tissue	culture conditions ^c	natural product(s) ^d	biological activity	ref
<i>Acremonium zeae</i> (NRRL 13540) (mitosporic Hypocreales)	<i>Zea mays</i> L. (maize) (Poaceae); kernel	whole maize kernel in d. H ₂ O; 25 °C; 30 days	pyrrocidine A (106a) pyrrocidine B (106b)	antibacterial; antifungal	21
<i>Aspergillus clavatus</i> strain H-037 (Trichocomaceae)	<i>Taxus mairei</i> (Lemée & Lév.) and <i>Torreya grandis</i> Arn. (Taxaceae); bark	PDA; 25 °C; 7 days	brefeldin A (10)	antifungal; antiviral; anticancer; weed management	8b–e
<i>Aspergillus fumigatus</i> CY018 (Trichocomaceae)	<i>Cynodon dactylon</i> (L.) Pers. (Poaceae); leaf	millet medium (solid); 28 °C; 35 days	asperfumoid (89)* asperfumin (37c)* monomethylsulochrin (37b) fumigaclavine C (91) fumitremorgin C (92) physcion (40a) ergosterol (68) helvolic acid (67) 5 α ,8 α -epidioxy- ergosterol (69) <i>cyclo</i> (Ala-Leu) (110e) <i>cyclo</i> (Ala-Ile) (110f) rubrofusarin B (47)	antifungal; mycotoxin antifungal; mycotoxin	22
<i>Aspergillus niger</i> IFB-E003 (Trichocomaceae)	<i>Cynodon dactylon</i> (L.) Pers. (Poaceae); leaf	millet-bran medium (solid); 28 °C; 30 days	fonsecinone A (48) aurasperone A (49) asperpyrone B (50) sequoiatone C (84a)* sequoiatone D (84b)* sequoiatone E (84c)* sequoiatone F (84d)* sequoiamonascin A (85a)* sequoiamonascin B (85b)* sequoiamonascin C (86)* sequoiamonascin D (87)*	cytotoxic; xanthine oxidase inhibitor antifungal; xanthine oxidase inhibitor	23
<i>Aspergillus parasiticus</i> RDWD1-2 (Trichocomaceae)	<i>Sequoia sempervirens</i> (D. Don) Endl. (Taxodiaceae); bark	DIFCO mycological broth; 19 days; mycelial extract DIFCO mycological broth; 21 days; mycelial extract	sequoiamonascin A (85a)* sequoiamonascin B (85b)* sequoiamonascin C (86)* sequoiamonascin D (87)*	toxic to brine shrimp toxic to brine shrimp toxic to brine shrimp toxic to brine shrimp toxic to brine shrimp; cytotoxic toxic to brine shrimp toxic to brine shrimp toxic to brine shrimp	24a 24b
<i>Aspergillus</i> sp. (Strain #CY725) (Trichocomaceae)	<i>Cynodon dactylon</i> (L.) Pers. (Poaceae); leaf	PDB; 28 °C; 4 days	monomethylsulochrin (37b) helvolic acid (67) ergosterol (68) 5 α ,8 α -epidioxyergosterol (69)	antibacterial; eosinophil inhibitor antibacterial	25, 60
<i>Botrytis</i> sp. (Sclerotiniaceae)	<i>Taxus brevifolia</i> Nutt. (Taxaceae); bark	DIFCO mycological broth; still culture; 21 days	ramulosin (34a) 6-hydroxyramulosin (34b) 8-dihydroxyramulosin (34c)* graphis lactone A (23)	antibiotic antibiotic antibiotic antioxidant;	26
<i>Cephalosporium</i> sp. IFB-E001 (mitosporic Hypocreales)	<i>Trachelospermum jasminoides</i> Lemoire (Apocynaceae); vine	millet-bran (solid) medium; 28 °C; 30 days	graphis lactone A (23)	antioxidant; free radical scavenger	27
<i>Cephalosporium</i> sp. (mitosporic Hypocreales)	<i>Dendrobium nobile</i> Sw. (Orchidaceae); root	wheat bran (liquid) medium; 25 °C; 7 days	ergosterol (68) <i>cyclo</i> (Gly-Val) (110a) butanedioic acid choline sulfate 2-[2-(hydroxyl-tetracosanoyl) amino]-1,3,4-octadecatriol leucine D-mannitol <i>meso</i> -erythritol pyridine-3-carboxylic acid α -stearin uracil		28
<i>Ceratopycnidium baccharidicola</i> (Ascomycetes, Incerte sedis)	<i>Baccharis cordifolia</i> L. (Asteraceae); stem and leaf	YES medium; Myro medium; rice solid medium; 24–27 °C; 30 days	rodicins verrucarins	toxic to livestock toxic to livestock	29
<i>Chaetomium chiversii</i> CS-36-62 (Chaetomiaceae)	<i>Ephedra fasciculata</i> A. Nels (Ephedraceae); stem	PDA; 27 °C; 14 days	radicol (30b)	cytotoxic; Hsp90 inhibitor	30

Table 1. Natural Products of Endophytic Microorganisms (Continued)^a

microbial strain ^b	plant host(s) (family); ^b plant part or tissue	culture conditions ^c	natural product(s) ^d	biological activity	ref
<i>Chaetomium globosum</i> (Chaetomiaceae)	<i>Ephedra fasciculata</i> A. Nels (Ephedraceae); stem	PDB; 26 °C; 15 days	orsellinic acid (17a) globosumone A (17b)* globosumone B (17c)* globosumone C (17d)* trichodion (80) orcinol	cytotoxic cytotoxic	31
<i>Cladosporium herbarum</i> IFB-E002 (Mycosphaerellaceae)	<i>Cynodon dactylon</i> (L.) Pers. (Poaceae); leaf	millet-bran medium; 28 °C; 35 days	aspernigrin A (88) rubrofusarin B (47) fonsecinone A (49) 3 α ,5 α ,6 β -trihydroxy- ergosta-7,22-diene (70) 7-hydroxy-4-methoxy-5- methylcoumarin (51a) orlandin (52a) kotanin (52b)	cytotoxic; xanthine oxidase inhibitor plant growth inhibitor	32
<i>Colletotrichum</i> sp. strain EG4 (Phyllachoraceae)	<i>Ginkgo biloba</i> L. (Ginkgoaceae); leaf	PDB; 28 °C; 6 days	flavone-like compounds		33
<i>Cytospora</i> sp. CR 200 (Valsaceae)	<i>Conocarpus erecta</i> L. (Combretaceae); stem	PDB	cytosporone A (26a)* cytosporone B (26b)* cytosporone C (27a)* cytosporone D (27b)* cytosporone E (28)* cytoskyrin A (41)* cytoskyrin B (42)*	antifungal; cytotoxic antibacterial	34a, 35 34b
<i>Diaporthe</i> sp. CR 146 (Valsaceae)	<i>Forsteronia spicata</i> G. Meyer (Apocynaceae); stem	PDB	cytosporone A (26a) cytosporone B (26b) cytosporone C (27a) cytosporone D (27b) cytosporone E (28)	antifungal; cytotoxic antibacterial	34 35 35
<i>Dothiorella</i> sp. strain HTF3 (Botryosphaeriaceae)	<i>Aegiceras corniculatum</i> Gaertner. (Myrsinaceae) (Mangrove); bark	PDB; 25 °C; 7 days	cytosporone B (26b) dothiorelone A (26c)* dothiorelone B (26d)* dothiorelone C (26e)* dothiorelone D (27c)*	antifungal; cytotoxic cytotoxic cytotoxic cytotoxic	36
<i>Eupenicillium</i> sp. (Trichocomaceae)	<i>Murraya paniculata</i> (L.) Jack (Rutaceae); leaf	white-corn medium; 20 days	alanditrypinone (96)* alantryphenone (97)* alantrypinene (98)* alantryleunone (99)*		36
<i>Fusarium oxysporum</i> strain 97CG3 (mitosporic Hypocreales)	<i>Catharanthus roseus</i> (L.) G. Don (Apocynaceae); inner bark	mineral medium; 25 °C; 3–4 days	vincristine	anticancer	37
<i>Fusarium</i> sp. IFB-121 (mitosporic Hypocreales)	<i>Quercus variabilis</i> L. (Fagaceae); bark	PDB; 28 °C; 6 days	cerebroside 4a fusaruside (4b)*	antibacterial; xanthine oxidase inhibitor antibacterial; xanthine oxidase inhibitor	38
<i>Fusidium</i> sp. (Mitosporic fungi)	<i>Mentha avensis</i> L. (Lamiaceae); leaf	biomalt semisolid agar; or liquid biomalt; 20 °C; 11 days	fusidilactone A (11a)* fusidilactone B (11b)* fusidilactone C (12)* <i>cis</i> -4-hydroxy-6- deoxyscytalone (79) (-)-(<i>S</i>)-guignardic acid (72)*		39
<i>Guignardia</i> sp. (Botryosphaeriaceae)	<i>Spondias mombin</i> L. (Anacardiaceae); leaf	malt-peptone- glucose broth; 14 days			40
<i>Hormonema</i> sp. ATCC 74360 (Dothioraceae)	<i>Juniperus communis</i> L. (Cupressaceae); leaf	brown rice yeast solid medium; 25 °C; 21 days	enfumafungin (66)*	antifungal	41
<i>Leptosphaeria</i> sp. strain IV403 (Leptosphaeriaceae)	<i>Artemisia annua</i> L. (Asteraceae); stem	PDB; 28 °C; 10 days	leptosphaeric acid (57)* leptosphaerone (82)*		42a 42b
<i>Melanconium betulinum</i> (Melanconidaceae)	<i>Betula pendula</i> Roth; <i>B. pubescens</i> Ehrh. (Betulaceae); above- ground parts	YMG medium; 22 °C; until carbon source completely consumed	3-hydroxypropionic acid	nematocidal	43

Table 1. Natural Products of Endophytic Microorganisms (Continued)^a

microbial strain ^b	plant host(s) (family); ^b plant part or tissue	culture conditions ^c	natural product(s) ^d	biological activity	ref
<i>Microsphaeropsis olivacea</i> (mitosporic Ascomycota)	<i>Pilgerodendron uviferum</i> (D. Don) Florine (Cupressaceae) [Gymnosperm]; phloem	rice medium; 25 °C; 30 days	7-hydroxy-2,4-dimethyl-3(2 <i>H</i>)-benzofuranone (20a)* enalin (20b) graphislactone A (23) botrallin (24) ulocladol (25) 2,5-diacetylphenol butyrolactone I (73) lactone S 39163/F-I (83)*	acetylcholinesterase (AChE) inhibitor acetylcholinesterase (AChE) inhibitor	44
<i>Microsphaeropsis</i> sp. strain NRRL 15684 (mitosporic Ascomycota)	<i>Buxus sempervirens</i> L. (Buxaceae); leaf	SL medium; 24 °C; 13 days	ambuic acid (75)*	antimicrobial; antiviral	45
<i>Monochaetia</i> sp. (Amphisphaeriaceae)	several rain forest plants; leaf, stem, petiole	M1D medium supplemented with soytone; 23–24 °C; 21 days	ambuic acid (75)*	antimycotic	56
<i>Muscodor albus</i> (mitosporic Xylariales)	<i>Cinnamomum zeylanicum</i> Schaeelter. (Lauraceae); stem	PDA	volatile antibiotics	antibiotic	46
<i>Mycelia sterilia</i> strain 4567 (Ascomycota)	<i>Cirsium arvense</i> (Canadian thistle) (Asteraceae); ns	malt-soya and biomalt semisolid agar; 130 days	3-acetyl-6-hydroxy-4-methyl-2,3-dihydrobenzofuran* 3-(3',5'-dihydroxy-2'-methylphenyl)-2-butanone (19a)* 4-acetyl-3,4-dihydro-6,8-dihydroxy-5-methylisocoumarin (31b) 4-acetyl-3,4-dihydro-6,8-dihydroxy-3-methoxy-5-methylisocoumarin (31c)* 3,4-dihydro-3,6,8-trihydroxy-3,5-dimethylisocoumarin (31d)* 6,8-diacetoxy-3,5-dimethylisocoumarin (32d)		47
<i>Mycelia sterilia</i> (Ascomycota)	<i>Atropa belladonna</i> L. (Solanaceae); root	malt-soya and biomalt semisolid agar; RT; 70 days	preussomerin G (43a) preussomerin H (43b) preussomerin I (43c) preussomerin J (43d)* preussomerin K (43e)* preussomerin L (44)*	antibacterial; antifungal; FPTase inhibitor antibacterial; antifungal antibacterial; antifungal antibacterial; antifungal antibacterial; antifungal	48
<i>Nectria galligena</i> (Nectriaceae)	<i>Malus x domestica</i> Borkch (apple) (Rosaceae); xylem	MGP medium; 24 °C; until all glucose consumed	colletorin B (76a) colletochlorin B (76b) ilicicolin C (77a) ilicicolin E (77b) ilicicolin F (77c) α,β -dehydrocurvularin (29b)	acetylcholinesterase (AChE) inhibitor; β -glucuronidase inhibitor antibacterial; AChE inhibitor; β -glucuronidase inhibitor antibacterial; AChE inhibitor; β -glucuronidase inhibitor cytotoxic; seed germination radicle and epicotyl growth inhibitor	49
<i>Nodulisporium</i> sp. MF 5954, ATCC 74245 (microsporic Xylariales)	<i>Bontia daphnoides</i> L. (Scrophulariaceae); wood	nutrient medium; 25 °C; 21–28 days	nodulisporic acid A (94) nodulisporic acid A ₁ (95a)* nodulisporic acid A ₂ (95b)*	insecticidal insecticidal	50
<i>Paecilomyces</i> sp. H-036 and W-001 (Trichocomaceae)	<i>Taxus mairei</i> (Lemée & Lév) and <i>Torreya grandis</i> Arn. (Taxaceae); bark	PDA; 25 °C; 7 days	brefeldin A (10)	antifungal; antiviral; anticancer; weed management	8b
<i>Penicillium implicatum</i> (isolate SJ21) (Trichocomaceae)	<i>Diphylleia sinensis</i> H. L. Li (Berberidaceae); root; rhizome, petiole	MM medium; 28 °C; 6 days	substance analogous to podophyllotoxin	anticancer	51

Table 1. Natural Products of Endophytic Microorganisms (Continued)^a

microbial strain ^b	plant host(s) (family); ^b plant part or tissue	culture conditions ^c	natural product(s) ^d	biological activity	ref
<i>Penicillium janczewskii</i> (Trichocomaceae)	<i>Prumnopitys andina</i> (Endl.) Laubenf. (Podocarpaceae); phloem	PDB; 25°C; 23 days	peniprequinolone (90) gliovictin (111) mellein (31a)	nematicidal; root growth accelerator; weakly cytotoxic antibacterial; antiviral; phytotoxic	52
<i>Periconia</i> sp. OBW-15 (Halosphaeriaceae)	<i>Taxus cuspidata</i> Siebold & Zucc. (Taxaceae); inner bark	S-7 (liquid) medium (still culture); 25 °C; 21 days	periconicin A (65a)* periconicin B (65b)*	antimycotic; hypocotyl elongation and root growth inhibitor; root growth accelerator (at low conc) hypocotyl elongation and root growth inhibitor; root growth accelerator (at low conc)	53
<i>Pestalotiopsis jesteri</i> (Amphisphaeriaceae)	<i>Fragariae bodenii</i> Thunb. (Gentianaceae); inner bark	M1D agar medium; 23 °C; 21 days	jesterone (74a)* hydroxyjesterone (74b)*	antifungal; antimycotic	54
<i>Pestalotiopsis microspora</i> (Amphisphaeriaceae)	<i>Terminalia morobensis</i> L. (Combretaceae); stem	M1D medium (still culture); 23°C; 21 days M1D medium (still culture); 23 °C; 35 days	pestacin (21)* isopestacin (22)*	antimycotic; antioxidant antifungal; antioxidant	55 55b
<i>Pestalotiopsis</i> spp. (Amphisphaeriaceae)	several rain forest plants; leaf, stem, petiole	M1D medium (supplemented with soytone); 23–24 °C; 21 days	ambuic acid (75)*	antimycotic	56
<i>Phomopsis phaseoli</i> (Valsaceae)	tropical tree; leaf	YMG medium; 22 °C; until carbon source completely consumed	3-hydroxypropionic acid	nematicidal	43
<i>Phomopsis</i> sp. (Valsaceae)	<i>Erythrina crista-galli</i> L. (Fabaceae); twig (dead)	KGA medium; RT; 39 days	phomol (5)*	antibacterial; antifungal; anti-inflammatory (mouse ear edema assay); weakly cytotoxic	57
<i>Phyllosticta capitalensis</i> (telemorph <i>Guignardia mangiferae</i>) (Botryosphaeriaceae)	temperate and tropical wood trees; leaf	PDA (2% Bactoagar); melanin 26 °C; 10 days			58
<i>Pseudomassaria</i> sp. ATCC 74411 (Hyponectriaceae)	unidentified plant (collected near Kinshasa, Democratic Republic of Congo); leaf	WBE broth; 25 °C; 21 days	demethylasterriquinone B1 (DMAQ-B1) (100c)* asterriquinone 100d asterriquinone 100e [oxidation product (103)] [oxidation product (104)] [decomposition product (105)]	insulin receptor activator	59
<i>Rhizoctonia</i> sp. Cy064 (mitosporic Hymemomycetes)	<i>Cynodon dactylon</i> (L.) Pers. (Poaceae); leaf	grain-bran-yeast medium; 28 °C; 40 days	rhizoctonic acid (37a)* monomethylsulochrin (37b) ergosterol (68) 3β,5α,6β-trihydroxergosta-7,22-diene (70) (-)-oocydin A (9)*	weakly antibacterial weakly antibacterial weakly antibacterial weakly antibacterial	60
<i>Serratia marcescens</i> MSU-97 (Enterobacteriaceae)	<i>Rhyncholacis penicillata</i> Tul. (Podostemaceae); ns	PD-soytone-yeast extract medium; 23 °C; 15 days		antifungal	61, 18b
<i>Scytalidium</i> sp. (mitosporic Ascomycota)	<i>Salix</i> sp. (Salicaceae); leaf	malt-soya and biomalt semisolid agar; RT; 111 days	4,6-dihydroxy-3-methyl-2-(2-oxopropionyl)-benzoic acid (19b)* 2-(1-acetyl-2-hydroxyvinyl)-4,4-dihydroxy-3-methylbenzoic acid (19c)* 4-acetyl-3,4-dihydro-6,8-dihydroxy-5-methylisocoumarin (31c) 4-acetyl-3,4-dihydro-6,8-dihydroxy-3-methoxy-5-methylisocoumarin (31d) decarboxycitrinone (32e) 6,8-dihydroxy-4-hydroxymethyl-3,5-dimethylisochromen-1-one (32f)* 4-acetoxymethyl-6,8-dihydroxy-3,5-dimethylisochromen-1-one (32g)* 4-acetyl-6,8-dihydroxy-5-methyl-2-benzopyran-1-one (32h) (+)-dihydronaphtho(1,2- <i>b</i>)-furan-5,6-dicarboxylic anhydride (45) acetone adduct of atroneninone (46a)		62

Table 1. Natural Products of Endophytic Microorganisms (Continued)^a

microbial strain ^b	plant host(s) (family); ^b plant part or tissue	culture conditions ^c	natural product(s) ^d	biological activity	ref
<i>Streptomyces aureofaciens</i> CMUAc130 (Streptomycetaceae)	<i>Zingiber officinale</i> Roscoe (Zingiberaceae); root	ISP-2 broth; 30 °C; 5 days	5,7-dimethoxy-4-phenylcoumarin (51b) 5,6-dimethoxy-4-(p-methoxy-phenyl)coumarin (51c) vanillin 3-methoxy-4-hydroxytoluene	antifungal antifungal weakly antifungal weakly antifungal	63
<i>Streptomyces griseus</i> subsp. (strain HKI0412) (Streptomycetaceae)	<i>Kandelia candel</i> (L.) Druce (Rhizophoraceae) [Mangrove]; stem	medium 1; 28 °C; 5 days	7-(4-aminophenyl)-2,4-dimethyl-7-oxo-hept-5-enoic acid (71a)* 9-(4-aminophenyl)-7-hydroxy-2,4,6-trimethyl-9-oxo-non-2-enoic acid (71b)* 12-(4-aminophenyl)-10-hydroxy-6-(1-hydroxyethyl)-7,9-dimethyl-12-oxo-dodeca-2,4-dienoic acid (71c)*		64
<i>Streptomyces</i> sp. NRRL 30562 (Streptomycetaceae)	<i>Kennedia nigricans</i> Lindley (Fabaceae); stem	PDB still culture; 23 °C; 21 days	munumbacins A–D (peptides)*	antibiotic	65
<i>Streptomyces</i> sp. NRRL 30566 (Streptomycetaceae)	<i>Grevillea pteridifolia</i> J. Knight (Proteaceae); stem	DIFCO nutrient broth; 25 °C; 3 days	kakadamycin A (peptide)*	antibiotic	66
<i>Streptomyces</i> sp. MSU-2110 (Streptomycetaceae)	<i>Monstera</i> sp. (Araceae); stem	PSNB medium, still culture; 25 °C; 21–28 days	coronamycin (peptide)*	antibiotic	67
<i>Xylaria</i> sp. No. 2508 (Xylariaceae)	unidentified mangrove tree; seed	dextrose (1.2%), yeast extract (0.1%), peptone (0.2%), NaCl (3.0%); 30 °C; 86 h	piliformic acid (1) ergosterol (68) 3 β ,5 α ,6 β -trihydroxyergosta-7,22-diene (70) α -glycerol monopalmitate <i>p</i> -hydroxybenzoic acid		73
unidentified fungus CR115 (90% similarity to an uncharacterized oat root Basidiomycete)	<i>Daphnopsis americana</i> (Miller) J. S. Johnson (Thymelaeaceae); ns	PDB	guanacastepene A (58a) guanacastepene B (59)* guanacastepene C (58b)* guanacastepene D (60)* guanacastepene E (61a)* guanacastepene F (61b)* guanacastepene G (61c)* guanacastepene H (62)* guanacastepene I (61d)* guanacastepene J (61e)* guanacastepene K (63)* guanacastepene L (64a)* guanacastepene M (64b)* guanacastepene N (61f)* guanacastepene O (61g)*	antibacterial antibacterial	68
unidentified fungus No. 1893	<i>Kandelia candel</i> (DC) Wight & Arn. (Rhizophoraceae); dropper	GYT broth; 30 °C; 5–7 days	lactone 1893 A (7)* lactone 1893 B (8)* <i>cyclo</i> (Phe-Gly) (110b) <i>cyclo</i> (Ser-Leu) (110g) 5-(<i>p</i> -hydroxybenzyl)-hydantoin vermiculin (6) <i>trans</i> -3-methyl-dodec- <i>cis</i> -6-en-4-olide (13a)* <i>trans</i> -8-hydroxy-3-methyl-dodec- <i>cis</i> -6-en-4-olide (13b)* <i>trans</i> -8-acetoxy-3-methyl-dodec- <i>cis</i> -6-en-4-olide (13c)* <i>trans</i> -9-hydroxy-3-methyl-8-oxo-dodec- <i>trans</i> -6-en-4-olide (13d)* <i>trans</i> -8,9-dihydroxy-3-methyl-dodec- <i>cis</i> -6-en-4-olide (13e)* <i>trans</i> -9-hydroxy-8-oxo-3-methyl-dodecan-4-olide (14a)* <i>trans</i> -7,9-dihydroxy-3-methyl-8-oxo-dodecan-4-olide (14b)* <i>trans</i> -6-hydroxymethyl-3-methyl-7-oxo-dodecan-4-olide (15)* 7 α ,8 β -11-trihydroxydrimane (53) 10,11-dihydroxyfarnesic acid (54)		69a
unidentified fungus strain SWS11H1 (DAOM 221611)	<i>Picea glauca</i> (Moench) Voss. (Pinaceae); needles	MEA medium; 20 °C; 12 days	vermiculin (6) <i>trans</i> -3-methyl-dodec- <i>cis</i> -6-en-4-olide (13a)* <i>trans</i> -8-hydroxy-3-methyl-dodec- <i>cis</i> -6-en-4-olide (13b)* <i>trans</i> -8-acetoxy-3-methyl-dodec- <i>cis</i> -6-en-4-olide (13c)* <i>trans</i> -9-hydroxy-3-methyl-8-oxo-dodec- <i>trans</i> -6-en-4-olide (13d)* <i>trans</i> -8,9-dihydroxy-3-methyl-dodec- <i>cis</i> -6-en-4-olide (13e)* <i>trans</i> -9-hydroxy-8-oxo-3-methyl-dodecan-4-olide (14a)* <i>trans</i> -7,9-dihydroxy-3-methyl-8-oxo-dodecan-4-olide (14b)* <i>trans</i> -6-hydroxymethyl-3-methyl-7-oxo-dodecan-4-olide (15)* 7 α ,8 β -11-trihydroxydrimane (53) 10,11-dihydroxyfarnesic acid (54)		70

Table 1. Natural Products of Endophytic Microorganisms (Continued)^a

microbial strain ^b	plant host(s) (family); ^b plant part or tissue	culture conditions ^c	natural product(s) ^d	biological activity	ref
unidentified fungus strain SWS 2611L (DAOM 229664)	<i>Picea glauca</i> (Moench) Voss. (Pinaceae); needles	CZ Met medium and 2% malt extract medium; 20 °C; 42 days	6,7-dihydroxy-2-propyl-2,4-octadien-4-olide (16)* 5,6,8-trihydroxy-4-(1'-hydroxyethyl) isocoumarin (32i)* sescandelin (32j) sescandelin B (32k)	toxic to spruce budworm cell line CF-1 weakly toxic to spruce budworm cell line CF-1 weakly toxic to spruce budworm cell line CF-1 weakly toxic to spruce budworm cell line CF-1	70
unidentified fungus No. 2524	<i>Avicennia marina</i> Forssk. (Acanthaceae); seed (Mangrove)	GPY broth (containing 20% seawater); 28 °C; 5–7 days	4-hydroxy-2-methoxyacetanilide (3 <i>S</i> ,4 <i>R</i>)-dihydroxy-(6 <i>S</i>)-undecyl- α -pyranone (2)* <i>cyclo</i> -(L-Phe-L-Leu ¹ -L-Leu ² -L-Leu ³ -L-Ile) (112)	noncytotoxic noncytotoxic	71
unidentified fungus No. 2533	<i>Avicennia marina</i> Forssk. (Acanthaceae); young leaf	glucose-beef-yeast extract medium (containing 5% seawater); 30 °C; 5–7 days	vermopyrone (3) avicennin A (32c) avicennin B (31e) 5-dechloroavicennin A (32a) 6,7-dimethyl-8-hydroxy-3-methylisocoumarin (32b)		72
unidentified fungus No. 2534	<i>Kandelia candel</i> (L.) Druce (Rhizophoraceae); dropper	ns	ergosterol (68) 5 α ,8 α -epidioxyergosterol (69) 3 β ,8 α ,6 β -trihydroxyergosta-7,22-diene (70) <i>cyclo</i> -(Phe-Phe) (110c) <i>cyclo</i> -(Leu-Tyr) (110d) guanidine 4-hydroxy-2-methoxyacetophenone protocatechuic acid methyl ester mellein (31a) <i>p</i> -hydroxybenzaldehyde 4-(2-hydroxyethyl)phenol		74
unidentified fungus E-3	<i>Prumnopitys andina</i> (Endl.) Laubenf. (Podocarpaceae); phloem	PDA; 25 °C; 23 days		antibacterial; antiviral; phytotoxic	52

^a Only those reported during the period covered by this review are listed. ^b Taxonomic data are from reference(s) listed and/or from the NCBI Entrez Taxonomy database (www.ncbi.nlm.nih.gov/entrez/), and ref 103; ns = not specified. ^c For details of media used for cultivation, see reference(s) listed: CZ Met medium = glucose (20 g), NH₄Cl (3 g), KH₂PO₄ (2 g), MgSO₄ (2 g), FeSO₄ 7 H₂O (0.2 g), yeast extract (2 g), malt extract (2 g), and peptone (2 g)/1000 mL H₂O; GPY broth = glucose-peptone-yeast extract; GYT broth = glucose (5 g), peptone (1 g), yeast extract (0.5 g), beef extract (0.5 g), NaCl (3 g)/1000 mL H₂O; ISP-2 broth = malt extract (10 g), yeast extract (4 g), glucose (4 g)/1000 mL H₂O; KGA medium = Kim-Goepfert Agar medium; MEA medium = malt extract agar medium; MGP medium = malt extract/glucose/peptone medium; MM medium = Mineral Medium; Myro medium = 1.00% corn hull extract and MgSO₄ (0.5 g/L); PDA = potato dextrose agar; PDB = potato-dextrose-broth; PSNB medium = potato-sucrose-neutral-broth; S-7 (liquid) medium = glucose (1 g), fructose (3 g), sucrose (6 g), NaOAc (1 g), soytone (1 g), thiamine (1 mg), biotin (1 mg), pyridoxal (1 mg), calcium pantothenate (1 mg), MgSO₄ (3.6 mg), Ca(NO₃)₂ (6.5 mg), Cu(NO₃)₂ (1 mg), ZnSO₄ (2.5 mg), MnCl₂ (5 mg), FeCl₃ (2 mg), phenylalanine (5 mg), sodium benzoate (100 mg), KH₂PO₄ (1 mL of 1 M solution)/1000 mL H₂O; SL medium = glucose (100 g), malt extract (0.4 g), yeast extract (0.4 g), NH₄NO₃ (0.4 g), KH₂PO₄ (0.4 g), MgSO₄ 7H₂O (0.4 g)/1000 mL H₂O (deminerallized); YES medium = yeast extract-sucrose medium; YMG medium = yeast-malt extract-glucose medium; ns = not specified. ^d New natural products are indicated with an asterisk (*); claimed "artifacts" of isolation are given in [], however, see text.

ialized reviews have also appeared on bioactive compounds of endophytes¹⁹ and of four endophytic *Penicillium* sp. of a U.S. Northwest Pacific yew tree.²⁰ Since the appearance of the last comprehensive review by Tan and Zou,¹⁴ 184 metabolites, of which 96 are new, have been characterized from 59 strains of endophytic microorganisms. The time is thus ripe for a comprehensive review of natural products from these fascinating organisms. Presented in Table 1 are endophytic microbial strains, host plants from which these microbes have been isolated, culture conditions employed for isolation of secondary metabolites, natural products characterized together with their reported biological activities, if any, and the literature references.^{7,21–74} Conditions employed for culturing of microorganisms are included in Table 1, as it is known that the nature and yield of microbial metabolites are strongly influenced by cultivation parameters.⁷

Rhizosphere Microorganisms

The rhizosphere is a biologically active zone of the soil around roots of plants that contain soil-borne microbes including bacteria and fungi.⁷⁵ It is the region of the soil immediately adjacent (within

1 mm) to plant roots and supports microbial ecosystems that are different from those of the bulk soil, both in gross numbers of cells and the variety of strains represented.⁷⁶ This special niche is heavily influenced by plant root rhizo-deposition products that are composed of exudates, lysates, mucilage, secretions, and dead cell material, as well as gases, including respiratory CO₂.⁷⁷ The amount and contents of these materials are also highly variable depending on the plant species, developmental stage of the plant, soil type, environmental stress, plant nutrition and other factors affecting plant growth, and the microbial activity in the rhizosphere.⁷⁸ The rhizosphere is also an environment with complex ecological interactions among the members of the microbial community, including competition via "chemical warfare".⁷⁹ The microbial communities present in the rhizosphere also influence growth and even survival of the plant host depending on the degree of parasitism, pathogenicity, or, conversely, induced disease resistance or biocontrol of pathogens.^{79a,80} As a consequence, the diversity of microbial strains in the rhizosphere has been postulated to be influenced by different plant species,⁸¹ their phylogeny, and environmental factors affecting plant growth.⁸² Recent results have

Table 2. Natural Products of Rhizosphere Fungi

fungus strain ^a	plant host(s) (family) ^a	culture conditions	natural product(s) ^c	biological activity	ref
<i>Aspergillus cervinus</i> (Trichocomaceae)	<i>Anicisanthus thurberi</i> (Torr.) Gray (Acanthaceae)	PDA; 27 °C; 28 days	penicillic acid (18a) 6-methoxy-5,6-dihydropenicillic acid (18b)* 4 <i>R</i> ,5 <i>S</i> *-dihydroxy-3-methoxy-5-methylcyclohex-2-enone (78a)*	selectively cytotoxic	85
<i>Aspergillus flavipes</i> (Trichocomaceae)	<i>Ericameria laricifolia</i> Nutt. (Asteraceae)	PDA; 27 °C; 28 days	aspochalasin I (107a)* aspochalasin J (107b)* aspochalasin K (108a)* aspochalasin E (108b) aspochalasin C (109a) aspochalasin D (109b) TMC-169 (109c) α,β -dehydrocurvularin (29b)	weakly cytotoxic weakly cytotoxic weakly cytotoxic weakly cytotoxic weakly cytotoxic weakly cytotoxic weakly cytotoxic	86
<i>Aspergillus terreus</i> (Trichocomaceae)	<i>Brickellia</i> sp. (Asteraceae)	PDA; 27 °C; 30 days	11-hydroxycurvularin (29c) 11-methoxycurvularin (29d)	cytotoxic; antimetabolic; weak Hsp90 inhibitor Selectively cytotoxic; antimetabolic; weak Hsp90 inhibitor cytotoxic; antimetabolic; weak Hsp90 inhibitor	85
<i>Aspergillus terreus</i> (Trichocomaceae)	<i>Opuntia versicolor</i> Engelm. (Cactaceae)	PDA; 27 °C; 30 days	betulinan (-)-quadron (55) (+)-terrecyclic acid A (56a) (+)-5,6-dihydro-6-hydroxy-terrecyclic acid A (56b)* (+)-5,6-dihydro-6-methoxy-terrecyclic acid A (56c)* asterriquinone C-1 (100b) asterriquinone D (100a) asterredione (102)* terrefuranone (81)* <i>N</i> ^a -acetylazonalemin (LL-S490 β) (93)	moderately cytotoxic cytotoxic; cell cycle inhibitor; induction of heat shock response; NF- κ B inhibitor moderately cytotoxic moderately cytotoxic moderately cytotoxic moderately cytotoxic moderately cytotoxic moderately cytotoxic	87
<i>Aspergillus terreus</i> (Trichocomaceae)	<i>Ambrosia ambrosoides</i> (Cav.) Payne (Asteraceae)	PDA; 27 °C; 28 days	terrequinone A (101)* penicillic acid (18b)	moderately cytotoxic selectively cytotoxic	85
<i>Aspergillus wentii</i> (Trichocomaceae)	<i>Larrea tridentata</i> (DC.) Coville (Zygophyllaceae)	PDA; 27 °C; 28 days	globosuxanthone A (38)* globosuxanthone B (39a)* globosuxanthone C (39b)* hydroxyvertixanthone (39c)	cytotoxic	88
<i>Chaetomium globosum</i> (Chaetomiaceae)	<i>Opuntia leptocaulis</i> DC. (Cactaceae)	PDA; 27 °C; 28 days	monocillin I (30a)	cytotoxic; Hsp90 inhibitor	89
<i>Paraphaeosphaeria quadriseptata</i> (Montagnulaceae)	<i>Opuntia leptocaulis</i> DC. (Cactaceae)	PDA; 27 °C; 28 days	paraphaeosphaerin A (33a)* paraphaeosphaerin B (33b)* paraphaeosphaerin C (33c)* apospaerin C (36)* eugenetin (35a) 7-methoxymethyleugenin (35b) 6-hydroxymethyleugenin (35c) α,β -dehydrocurvularin (29b)	cytotoxic; Hsp90 inhibitor	85, 90
<i>Penicillium</i> sp. strain AH-00-89-F6 (Trichocomaceae)	<i>Fallugia paradoxa</i> D. Don (Rosaceae)	PDA; 27 °C; 30 days	11-hydroxycurvularin (29c) 11-methoxycurvularin (29d) 1,3-dihydroxy-6-hydroxy-methyl-7-methoxy-anthraquinone (40b)* 1,3-dihydroxy-6-methyl-7-methoxyanthraquinone (40c)	selectively cytotoxic; antimetabolic; weak Hsp90 inhibitor cytotoxic; antimetabolic; weak Hsp90 inhibitor	

^a Taxonomic data are from reference(s) listed and/or from the NCBI Entrez Taxonomy database (www.ncbi.nlm.nih.gov/entrez/), and ref 103. ^b For details of media used for cultivation, see reference(s) listed: PDA = potato-dextrose-agar. ^c New natural products are indicated with an asterisk (*).

substantiated these hypotheses; techniques based on the analysis of microbial DNA extracted from rhizosphere communities (such as 16s RNA sequence analysis and DNA melting hybridization) as

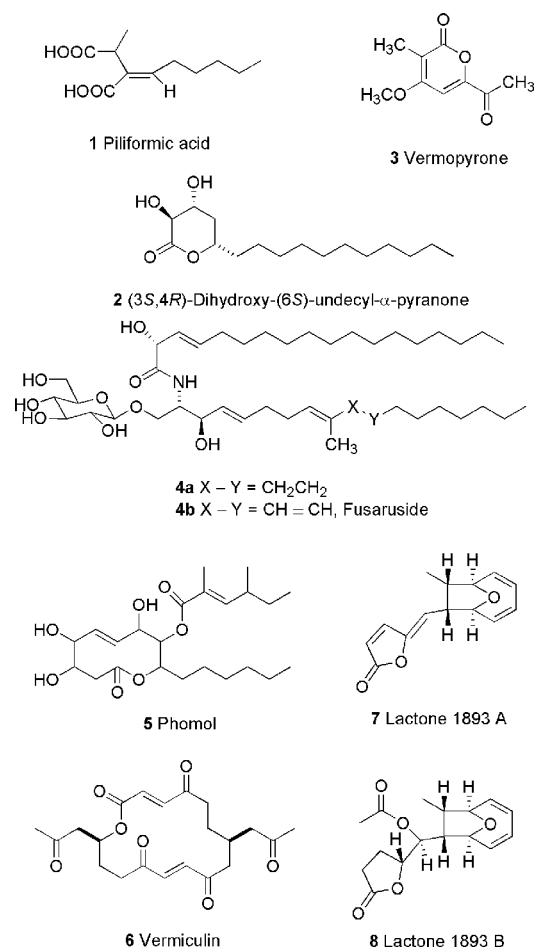
well as analysis of fatty acid metabolic profiles indicate that the rhizosphere communities of plant species differ from each other and from nonrhizosphere communities in the surrounding soil.^{76,83}

The demonstration that rhizosphere microbial diversity is strongly influenced by the diversity of plant species and environmental factors suggests that a previously unexploited opportunity exists for harvesting of natural products from this group of plant-associated microorganisms. Surprisingly, the secondary metabolites of rhizosphere microorganisms have not received much attention compared with those of endophytes, although a recent study has found that actinomycete producers of antifungal compounds could be isolated with higher frequency from the rhizosphere bacteria of the big sagebrush (*Artemisia tridentata*) than from bacterial communities of the bulk soil.⁸⁴ Our pioneering work on nine strains of Sonoran desert plant-associated rhizosphere fungi has thus far resulted in the characterization of 41 metabolites, of which 18 are new natural products.^{85–90} Presented in Table 2 are the rhizosphere microorganisms investigated, their host plants, culture conditions employed for isolation of secondary metabolites, natural products encountered, and their biological activities.

Structural Diversity and Biological Activities

As has been recently pointed out by Bode et al.,^{7a} “with more than 20,000 compounds described in the literature, microorganisms must be called metabolic artists superior to any metabolic diversity created by man.” In addition, microbial natural products represent a huge and largely untapped resource of unique chemical structures that have been optimized by evolution and are produced for communication and in response to changes in their habitats including environmental stress. Thus, there exists the potential of harvesting novel and/or biologically relevant natural products from organisms that live in close association with each other such as plant-associated microorganisms. This is evident from characterization of over 400 natural products to date, of which most have novel structures and/or useful biological activities, from 128 plant-associated microorganisms. The following discussion will focus mainly on natural products from endophytic and rhizosphere microorganisms with novel structures and/or interesting biological activities.

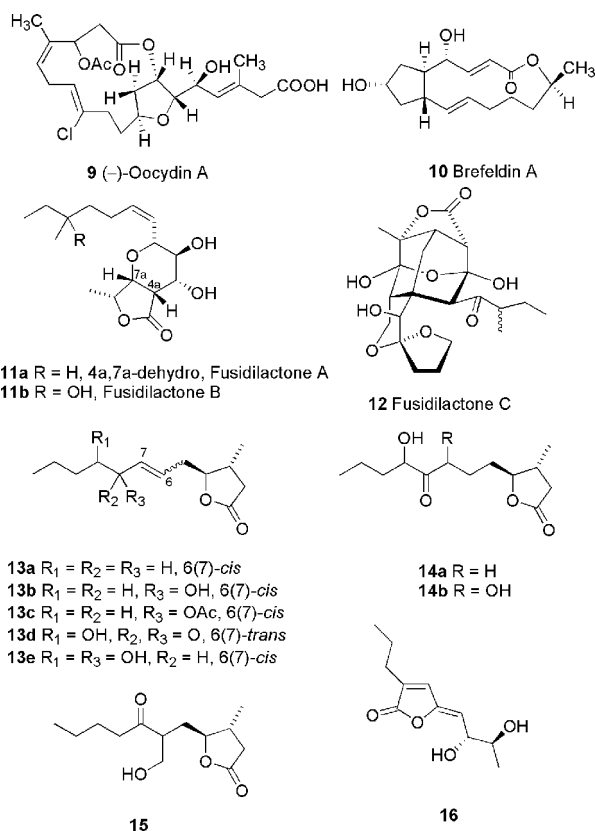
Metabolites of Acetate (Polyketide) Origin. Natural products frequently encountered in plant-associated microorganisms include, as in microorganisms from other sources, those derived from the acetate (polyketide) pathway. Of about 230 natural products characterized from these microorganisms during the period covered in this review, nearly half (115) are acetate-derived (1–52). The new glycosphingolipid cerebroside, fusaruside (4b), isolated from the endophyte *Fusarium* sp. IFB-121 occurring in *Quercus variabilis* has been shown to have antibacterial and xanthine oxidase inhibitory activities.³⁸ Phomol (5) is a novel polyketide lactone with antibacterial, antifungal, and anti-inflammatory activities characterized from an unidentified *Phomopsis* sp. endophytic in the Argentinian medicinal plant *Erythrina crista-galli*.⁵⁷ The presence of at least six stereochemical centers in 5 has been recognized, but application of NMR techniques (e.g., NOESY) for the determination of relative stereochemistry of these centers has failed. Two new lactones, 1893 A (7) and 1893 B (8), structurally related to the soil-borne fungal metabolite mycoepoxydiene,^{69b} were reported to occur in an unidentified endophytic fungus No. 1893 isolated from the estuarine mangrove, *Kandelia candel*.^{69a} Although the extract from which these were isolated was shown to be cytotoxic and strongly insecticidal, the bioactivities of 7 and 8 have not been evaluated. (–)-Oocydin A (9) is a unique chlorinated macrocyclic lactone isolated from an unusual bacterial strain of *Serratia marcescens* occurring both as an endophyte and as an epiphyte of the aquatic plant *Rhynholacis penicillata*.^{18b,61} The potential of oocydin A as an agrochemical to control oomyceteous fungi including *Pythium* and *Phytophthora* spp. is currently being evaluated.^{18b} Characterization of the macrolide (–)-haterumalide NA structurally identical with (–)-oocydin A (9) from an Okinawan sponge has been reported simultaneously.^{18c} More intriguingly, oocydin A/haterumalide NA (9) was also found to occur in the



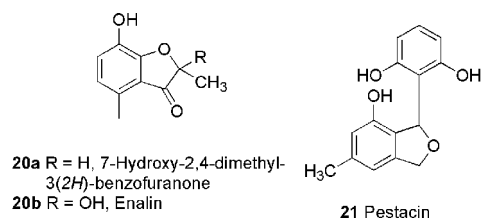
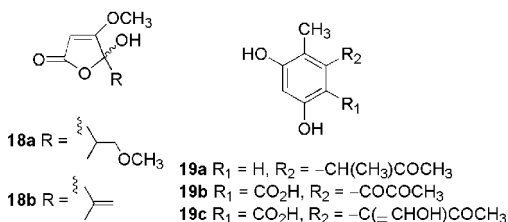
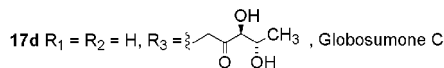
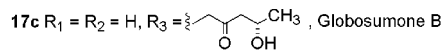
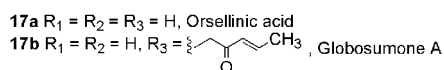
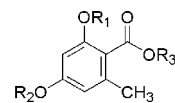
soil bacterium *Serratia plymuthica*.^{18d} The previously known macrolide fungal metabolite brefeldin A (10), with a wide range of biological activities, has been found to occur in *Aspergillus clavatus* and *Paecilomyces* sp. endophytic in Chinese *Taxus mairei* and *Torreya grandis*.^{8b} Mutualism developed between these organisms has been suggested to utilize brefeldin A to protect the host plant from bacterial infection, insects, and animals; it is proposed that this compound may also affect the normal function of the secretory system of plant cells by inhibiting vesicle formation at the Golgi apparatus,^{8f} which would enable these endophytes to obtain nutrients easily from their hosts. Fusidilactones A (11a), B (11b), and C (12) are new polycyclic lactones encountered in a *Fusidium* sp. endophytic in *Mentha arvensis*.³⁹ The structural complexity of fusidilactone C (12) with a rare oxadamantane moiety has been suggested to be similar to that of the poison tetrodotoxin produced by the Japanese fish *Spheroides rubripes*.

A series of new orsellinic acid esters, globosumones A–C (17b–17d), of which 17b and 17c exhibit cytotoxicity, have been reported from *Chaetomium globosum* endophytic in mormon tea (*Ephedra fasciculata*).³¹ Pestacin (21) and isopestacin (22) are two antifungal and antioxidant isobenzofuran metabolites produced by the endophytic fungus *Pestalotiopsis microspora* isolated, respectively, from several plants native to the rainforest of Papua New Guinea and from *Terminalia morobensis*.⁵⁵ Molecular mechanisms for the racemization of pestacin (21) during its biosynthesis and its antioxidant activity have been proposed.⁵⁵ Five new octaketides, cytosporones A (26a), B (26b), C (27a), D (27b), and E (28), have been reported from two endophytic fungal strains, CR 200 (*Cytospora* sp.) and CR 146 (*Diaporthe* sp.), collected in Costa Rica.³⁴ Of these, only those metabolites with a trihydroxybenzene lactone moiety (cytosporones D and E) were found to exhibit antibacterial activity. Cytosporone B (26b), three of its new analogues bearing hydroxyl groups in the C₈ alkyl chain, dothiorelones A–C

(**26c**–**26e**), and the lactone dothiorelone D (**27c**) were found to occur in *Dothiorella* sp. HTF3.^{35a} Cytosporone B (**26b**) has been recently shown to have antifungal and cytotoxic activities.^{35b} Natural occurrence of dothiorelone A (**26c**) has led to the proposition that it may be a biosynthetic precursor of the macrocyclic fungal metabolite curvularin (**29a**).^{35a} α,β -Dehydrocurvularin (**29b**) has been encountered in the endophytic fungus *Nectria galligena*⁴⁹ and the rhizosphere fungal isolates *Aspergillus terreus*^{85a} and *Penicillium* sp.⁹⁰ analogues of **29a**, 11-hydroxycurvularin (**29c**) and 11-methoxycurvularin (**29d**), were also found to occur in the latter two fungi. The cytotoxic and heat shock protein-90 (Hsp90) inhibitory macrocyclic lactones, monocillin I (**30a**) and its chlorinated analogue, radicicol (**30b**), have been isolated from *Paraphaeosphaeria quadriseptata* occurring in the rhizosphere of the Christmas cactus, *Opuntia leptocaulis*,^{30,89a} and *Chaetomium chiversii* endophytic on Mormon tea (*Ephedra fasciculata*).³⁰ Three novel minor isocoumarins, paraphaeosphaerins A–C (**33a**–**33c**), biogenetically related to monocillin I (**30a**), were also found to occur in *P. quadriseptata*.^{89b} Investigation of the rhizosphere fungal isolate *Chaetomium globosum*, collected from *Opuntia leptocaulis*, has led to the isolation of a novel strongly cytotoxic dihydroxanthone, globosuxanthone A (**38**) and two new xanthenes, globosuxanthenes B (**39a**) and C (**39b**), together with the known hydroxyvertixanthone (**39c**).³⁹ Use of a biochemical induction assay (BIA), which measures the induction of the SOS response in bacteria, has led to the isolation of the bisanthrones cytoskyrins A (**41**) and B (**42**), from *Cytospora* sp. CR200, a fungal strain endophytic in *Conocarpus erecta*.^{34b} Of these, only cytoskyrin A was found to be active in the BIA. Along with three known bis-spiroisnaphthalones, preussomerins G–I (**43a**–**43c**), three new representatives, preussomerins J (**43d**), K

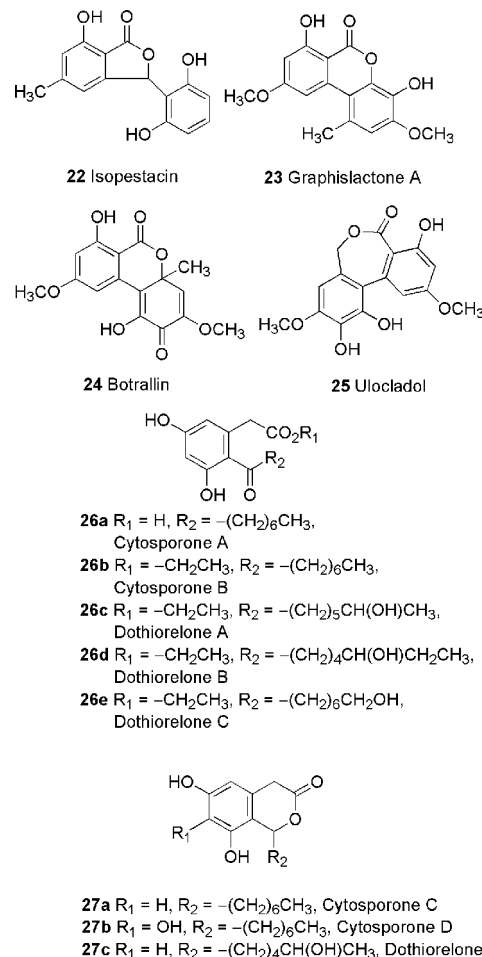


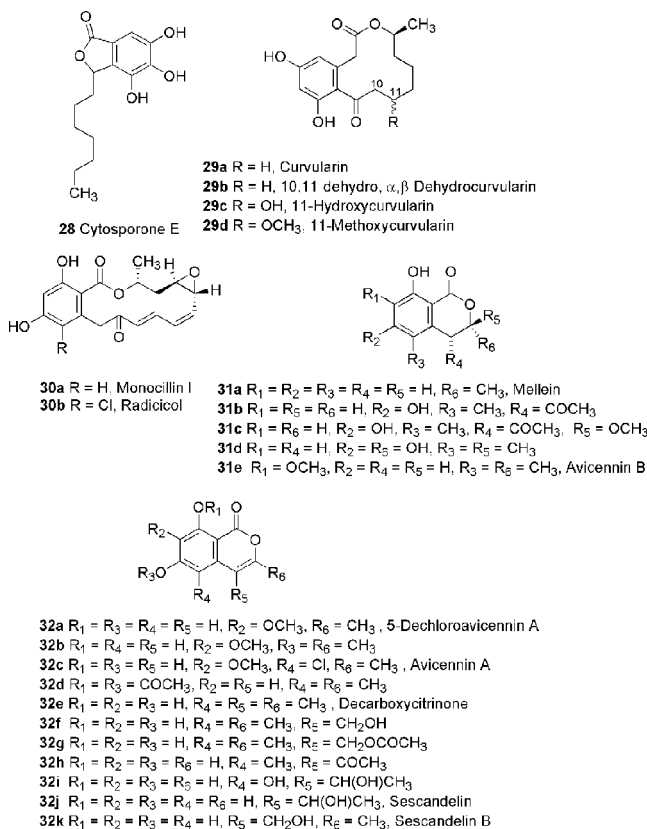
(**43e**), and L (**44**), have been reported from *Mycelia sterilia*, a fungus endophytic in *Atropa belladonna*.⁴⁸ Comparison of experimental and calculated CD spectroscopic data has aided determination of the absolute configurations of these preussomerins. The nontoxic plant growth inhibitory bis-coumarin orlandin (**52a**), previously encountered in *Aspergillus niger* growing on orange leaves,^{32a} and



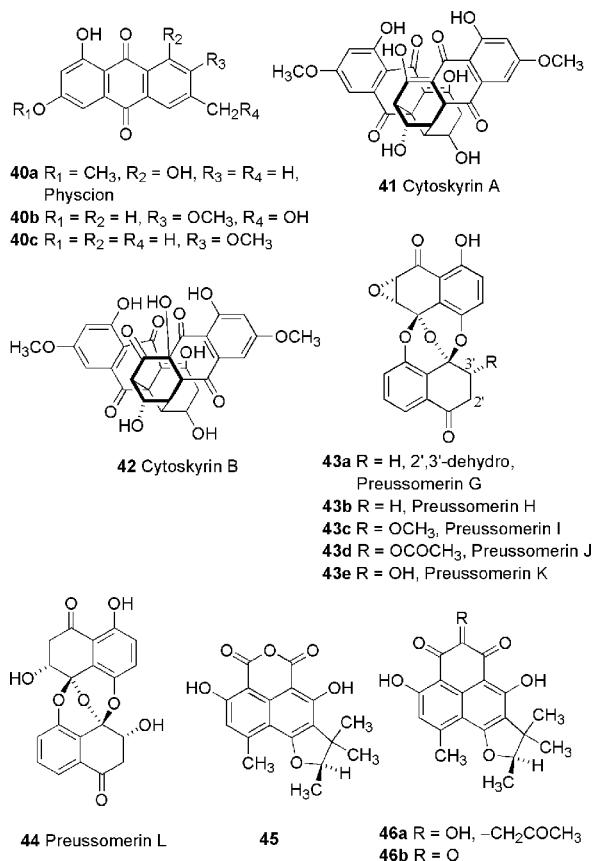
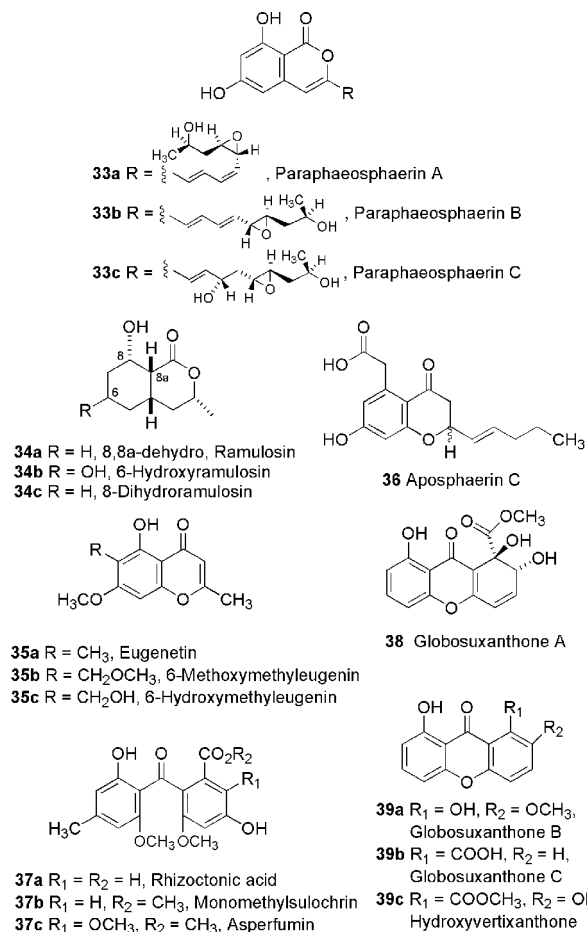
its methyl ether, kotanin (**52b**), have been isolated from *Cladosporium herbarum* endophytic in *Cynodon dactylon*.^{32b}

Metabolites of Mevalonate Origin. The second most prevalent group of natural products in plant-associated microorganisms are

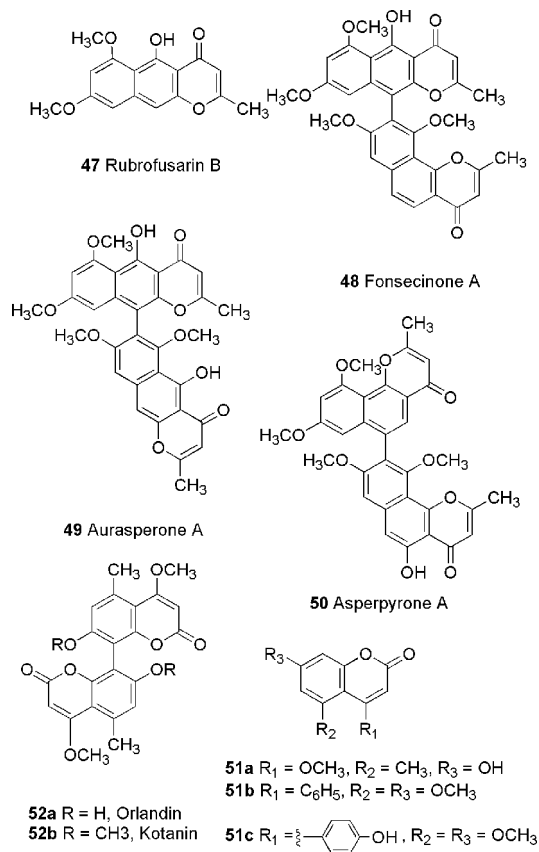




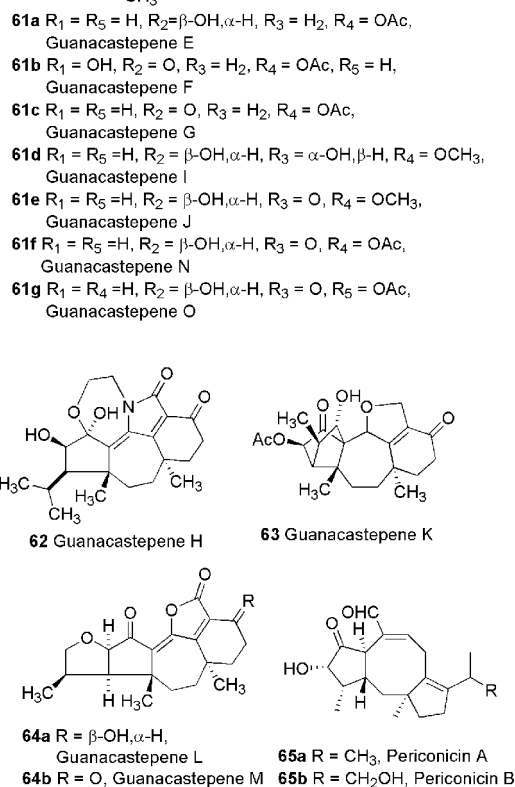
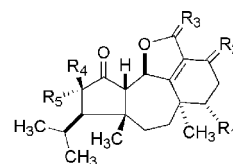
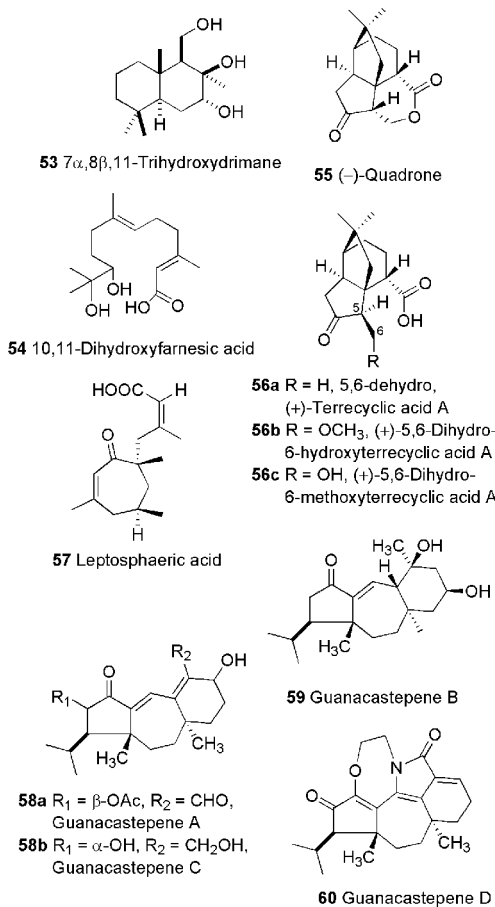
those derived from the mevalonic acid pathway, and 29 (53–70) out of about 230 natural products encountered during the period of this review belong to this category. These include sesquiterpenoids,



diterpenoids, triterpenoids, and steroids. Two new derivatives of the sesquiterpenoid (+)-terrecyclic acid A (**56a**), namely, (+)-5,6-dihydro-6-hydroxyterrecyclic acid A (**56b**) and (+)-5,6-dihydro-6-methoxyterrecyclic acid A (**56c**), together with **56a** and the derived cyclic lactone (-)-quadrone (**55**) have been found to be



the major constituents of the Sonoran desert rhizosphere fungal isolate *Aspergillus terreus*.^{87a} Cytotoxicity exhibited by these compounds toward proliferating cancer cell lines has led to a limited structure–activity relationship (SAR) study and evaluation of the possible mechanism of cytotoxic activity of **56a** as due to the modulation of multiple stress pathways—the oxidative, heat shock, and inflammatory responses—in tumor cells that promote their survival.^{87b} Leptosphaeric acid (**57**) is a sesquiterpene with a new rearranged carbon skeleton occurring in a partially identified *Leptosphaeria* sp., a fungus endophytic in *Artemisia annua*.^{42a}

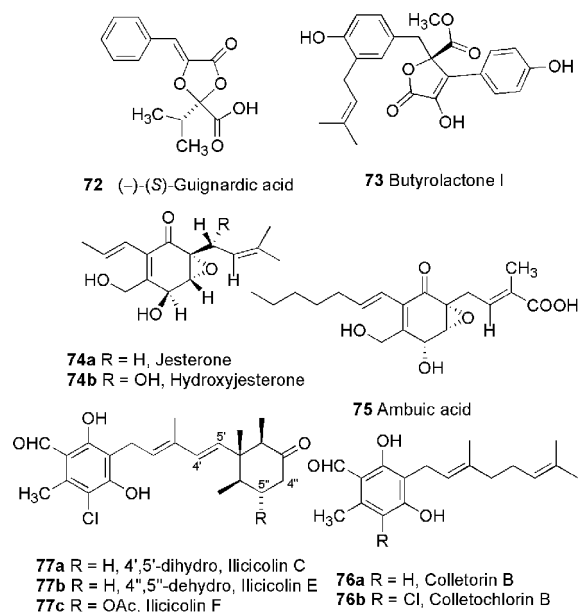
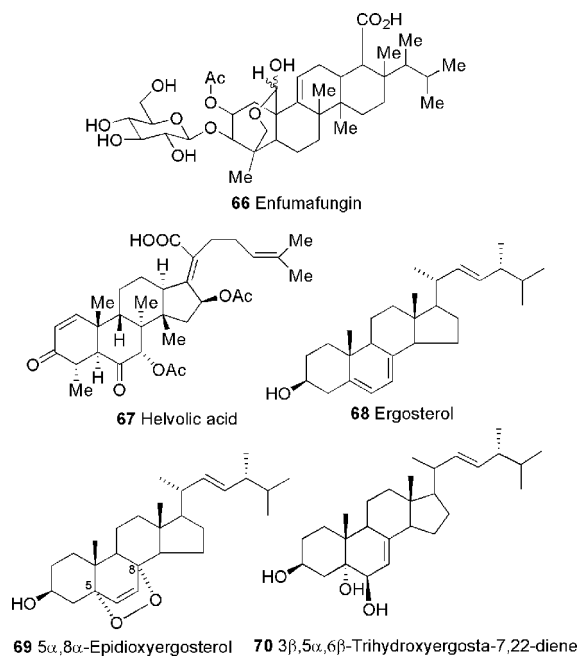


Guanacastepenes A–O (**58a**–**64b**) are a series of diterpenoids encountered in an unidentified endophytic fungal strain CR115 (with 90% similarity to an uncharacterized oat root Basidiomycete) occurring in *Daphnopsis americana*.⁶⁸ Of these, guanacastepenes A (**58a**) and I (**61d**) were found to have antibacterial activity against drug-resistant strains of *Staphylococcus aureus* and *Enterococcus faecalis*. This structurally diverse group of diterpenes has been postulated to represent five biogenetically related ring systems, some of which contain heterocyclic rings with nitrogen and oxygen atoms.^{68b} Two new fusicoccane diterpenoids, periconicins A (**65a**) and B (**65b**), isolated from an endophytic fungal strain *Periconia* sp. inhabiting the inner bark of *Taxus cuspidata*,^{53a} have been shown to have an array of biological properties including antimicrobial (against the agents of human mycoses, *Candida albicans*, *Trichophyton mentagrophytes*, and *T. rubrum*) and plant growth regulatory activities (inhibition of hypocotyl elongation and root growth of *Brassica campestris* and *Raphanus sativus*).^{53b} Interestingly, at concentrations below 1 μ g/mL both compounds were found to accelerate root growth. Enfumafungin (**66**) is a new antifungal triterpenoid glucoside produced by *Horomonema* sp. (ATCC 74360), a fungus endophytic in *Juniperus communis*.⁴¹ The interesting antifungal spectrum exhibited by **66** and its effect on morphology of *Aspergillus fumigatus*, which was shown to be comparable to

that of the glucan synthase inhibitor pneumocandin B₀ [the natural precursor of the clinical candidate MK-0991 (caspofungin acetate)], has led to an extensive search for other *Horomonema* isolates from different geographic locations and hosts for enfumafungin (**66**). Of the 53 isolates screened, antifungal activity was detected in five strains, but by HPLC analysis only two of them were found to produce **66**, in amounts similar to the strain ATCC 74360.⁴¹

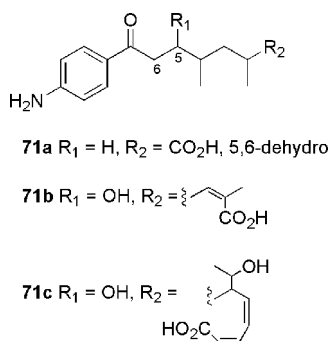
Metabolites of Shikimate Origin. Microorganisms and plants often utilize the shikimate pathway as a route alternative to the acetate (polyketide) pathway for the production aromatic compounds. In microorganisms, metabolites of shikimate origin include, among others, aromatic amino acids and their *N*-containing derivatives, phenylpropanoids, benzoic acid, and *p*-aminobenzoic acid derivatives.⁹¹ Three new *p*-aminoacetophenonic acids, **71a**–**71c**, have been reported recently from the endophytic bacterial isolate *Streptomyces griseus* obtained from the mangrove plant *Kandelia candel*.⁶⁴ Although these appear to be biogenetic precursors of aminoacetophenone heptaene antibiotics, such as levorin and trichomycin produced by *Streptomyces*, none of the new *p*-aminoacetophenonic acids, **71a**–**71c**, displayed any antibacterial, antifungal, or cytotoxic activity.

Metabolites of Mixed Biosynthetic Origin. A number of metabolites of plant-associated microorganisms incorporate within their structures the biosynthetic subunits of two (rarely more) metabolic pathways. It is noteworthy that out of over 230 natural products encountered during the period covered in this review, 27 (**72**–**87**) are of mixed biosynthetic origin. The simplest of these, (-)-(*S*)-guignardic acid (**72**), made up of a shikimate-derived phenylpropanoid and an oxidized isoprene moiety, has been found to occur in a fungal endophyte, *Guignardia* sp., of the Brazilian medicinal plant *Spondias mombin*.⁴⁰ Jesterone (**74a**) and hydroxyjesterone (**74b**) are two isoprenyl-pentaketides reported from the host-selective endophytic fungus *Pestalotiopsis jesteri*, isolated from

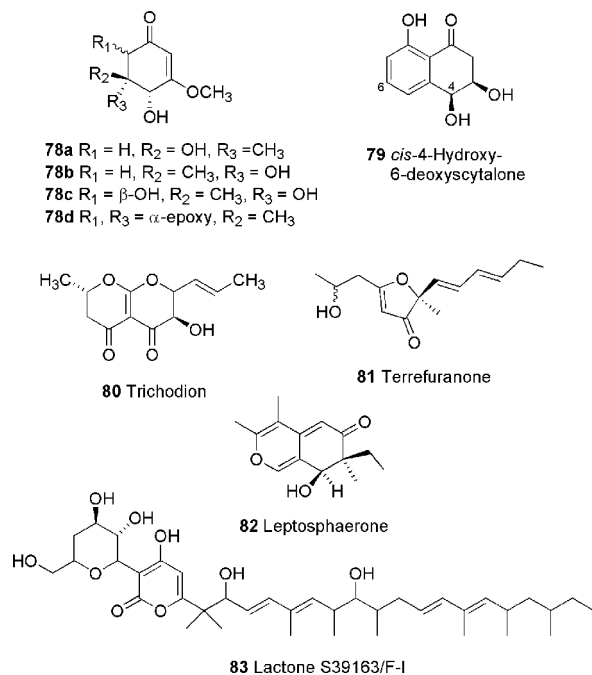


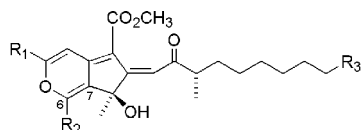
Fragraea bodenii.⁵⁴ Jesterone was found to possess significant inhibitory activity against oomycetes fungal pathogens, *Pythium ultimum*, *Aphanomyces* sp., *Phytophthora citrophthora*, and *P. cinnamomi*. The related antimycotic isoprenyl-heptaketide ambuic acid (75) has been found to occur in several isolates of *Pestalotiopsis* sp. and *Monochaetia* sp. obtained as endophytes of plants collected in several major representative rainforests of the world.⁵⁶ Colletorin B (76a) and its chlorinated analogue, colletochlorin B (76b), together with illicicolins C (77a), E (77b), and F (77c), have been isolated from *Nectria galligena*, a fungus endophytic in apple tree (*Malus x domestica*).⁴⁹ Illicicolins C (77a) and F (77c) were found to possess moderate antibacterial activity against *Pseudomonas syringae*, and colletochlorin B (76b), illicicolins C (77a), and E (77b) were shown to be moderate inhibitors of the enzyme acetylcholinesterase (AChE). Leptosphaerone (82) has a new carbon skeleton and is a metabolite of a *Leptosphaeria* sp. isolated from *Artemisia annua*.^{42b} A new antibiotic, lactone S 39163/F-I (83), with in vitro and in vivo antimicrobial and antiviral (anti-herpes) activities, has been isolated from a *Microsphaeropsis* sp., an endophytic fungal strain occurring in the leaf tissue of *Buxus sempervirens*.⁴⁵ Further investigation of *Aspergillus parasiticus* endophytic in the coastal redwood tree, *Sequoia sempervirens*, has yielded four additional new sequoiatones namely, sequoiatones C–F (84a–84d),^{24a} and a new series of monascins, sequoiamonascins A (85a), B (85b), C (86), and D (87),^{24b} all of which were found to be toxic to brine shrimp. Sequoiamonascins A and B were also shown to inhibit proliferation of cancer cell lines MCF-7 (breast), NCI-H460 (non-small cell lung), and SF-268 (CNS glioma).

Alkaloids and Other N-Containing Metabolites. A variety of N-containing compounds are known to occur in plant-associated

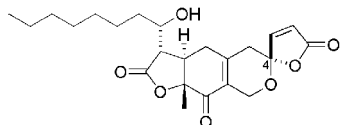


microorganisms, and during the period covered by this review, 21 alkaloids (88–106), seven cytochalasins (107–109), and nine cyclic peptides (110–112) have been isolated and characterized. The majority of alkaloids encountered in these organisms are derived from tryptophan and thus contain indole moieties.¹⁴ Aspernigrin A, previously isolated from a marine strain of *Aspergillus niger*,^{32c} has been reisolated from *Cladosporium herbarum* endophytic in *Cynodon dactylon*, and its structure was revised as 88.^{32b} Another endophytic fungus, *Aspergillus fumigatus*, occurring in *C. dactylon* has afforded the new antifungal metabolite asperfumoid (89).^{22a} The nematocidal alkaloid peniprequinolone (90), first isolated from the soil fungus *Penicillium* cf. *simplicissimum*,^{52e} has been found in *P. janczewskii*, a fungal endophyte of the Chilean gymnosperm *Prumnopitys andina*.^{52a} It is noteworthy that peniprequinolone (90) has recently been encountered in a *P. janczewskii* strain collected from a marine sample.^{52f} Two indole-derived mycotoxins, fumigaclavine C (91) and fumitremorgin C (92), previously known from other grass endophytes and from an *Aspergillus fumigatus* strain in molded silage,^{22b} have been characterized from *A. fumigatus* endophytic in *Cynodon dactylon*, and their antifungal activity has

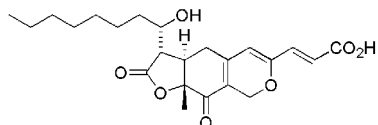




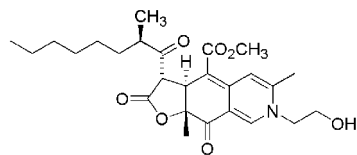
84a R₁ = R₃ = H, R₂ = CH₃, Sequoiatone C
84b R₁ = CH₃, R₂ = H, R₃ = OH, Sequoiatone D
84c R₁ = H, R₂ = CH₃, R₃ = OH, Sequoiatone E
84d R₁ = CH₃, R₂ = R₃ = H, 6,7-dihydro, Sequoiatone F



85a Sequoiamonascin A
85b C-4 epimer of **85a**, Sequoiamonascin B

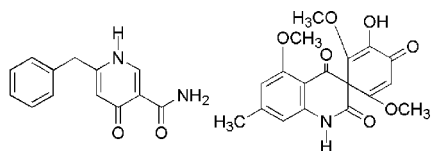


86 Sequoiamonascin C



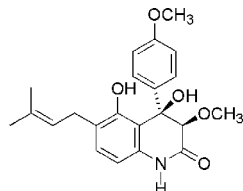
87 Sequoiamonascin D

been demonstrated.^{22a} *N*⁸-Acetyl aszonalamin (LL-S490β) (**93**) is

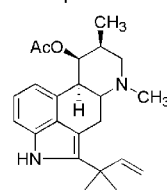


88 Aspernigin A

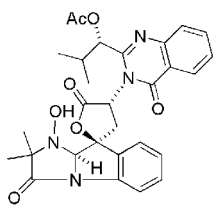
89 Asperfumoid



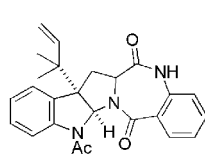
90 Peniprequinolone



91 Fumigaclavine C



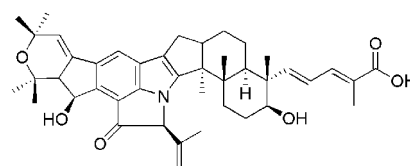
92 Fumitremorgin C
(Tryptoquivaline)



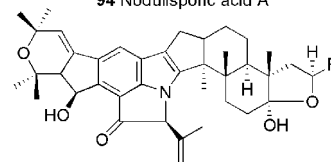
93 *N*⁸-Acetyl aszonalamin
(LL-S490β)

a rare benzodiazepinedione found to occur in a strain of *Aspergillus terreus* isolated from the rhizosphere of *Ambrosia ambrosoides*.^{85a} Reinvestigation of *Nodulisporium* sp., endophytic in *Bontia daphnoides* and known to produce the indole terpenoid nodulisporic acid A (**94**), has afforded two novel insecticides, nodulisporic acid A₁ (**95a**) and A₂ (**95b**), that are structurally related to **94**.⁵⁰

On the basis of previous reports that some endophytes are capable of developing a biochemical ability to produce compounds similar or identical to those produced by the host plant,^{17,92} Barros and Rodrigues-Filho have investigated an *Eupenicillium* sp. endophytic

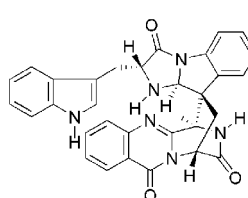


94 Nodulisporic acid A

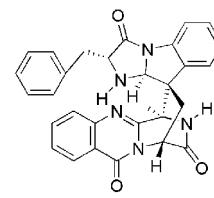


95a R = $\text{CH}_2\text{CH}_2\text{CO}_2\text{H}$, Nodulisporic acid A₁

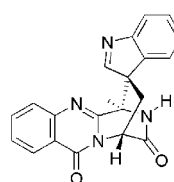
95b R = $\text{CH}_2\text{CH}(\text{OH})\text{CO}_2\text{H}$, Nodulisporic acid A₂



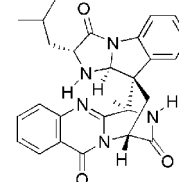
96 Alanditrypinone



97 Alantryphenone

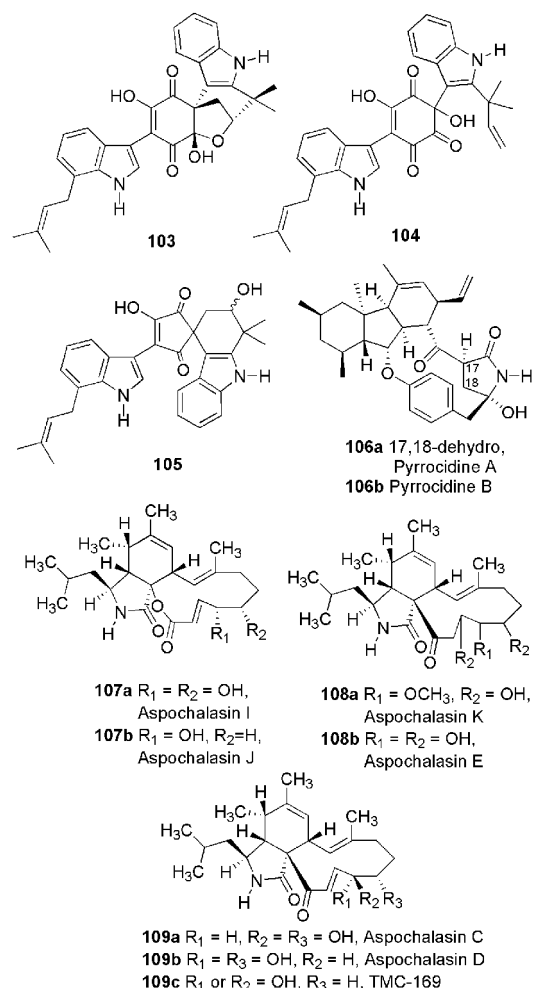
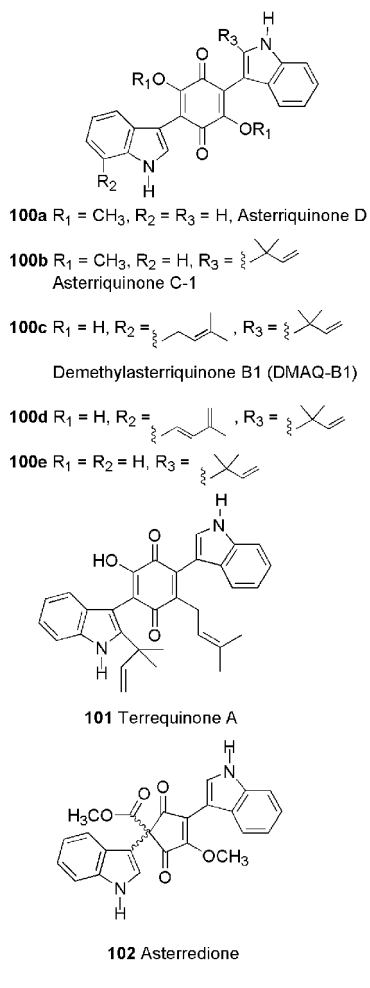


98 Alantrypinone



99 Alantryleunone

in *Murraya paniculata* resulting in the isolation of alanditrypinone (**96**), alantryphenone (**97**), alantrypinone (**98**), and alantryleunone (**99**), four members of the rare class of spiroquinazoline alkaloids.³⁶ Their previous work on the host plant, *M. paniculata*, has failed to detect any alkaloids but has resulted in the isolation of phytoalexin-like metabolites including highly oxygenated and alkylated coumarins and flavonoids, accumulation of which may be related to fungal infestation. Members of a rare class of cytotoxic alkaloids, asterriquinones D (**100a**) and C-1 (**100b**), have been reported from a strain of *Aspergillus terreus* inhabiting the rhizosphere of the Sonoran desert cactus *Opuntia versicolor*.^{87a} The co-occurrence in this fungal strain of the new spirodione alkaloid asterredione (**102**) has led to the proposition that it is biogenetically derived from asterriquinone D (**100a**) by a hitherto unknown hydroperoxy-mediated *p*-benzoquinone ring contraction. In an extensive search for natural product-based antidiabetic agents capable of mimicking insulin activity, Salituro et al. screened over 5000 microbial extracts, of which about 1500 were derived from endophytic fungal strains.⁵⁹ Bioactivity-guided fractionation of a moderately active extract obtained from *Pseudomassaria* sp. endophytic in an unidentified plant from the Democratic Republic of Congo has afforded demethylasterriquinone B1 (DMAQ-B1) (**100c**) as the active compound. Oral administration of DMAQ-B1 resulted in significant lowering of the glucose levels in two mouse models of diabetes. Two additional asterriquinones (**100d** and **100e**) and three of their derivatives (**103**–**105**) thought to be “artifacts” have also been characterized from this endophyte.⁵⁹ However, it is possible that **103**–**105** are genuine metabolites arising from DMAQ-B1 (**100c**) as a result of enzymatic hydroxylation, epoxidation, and hydroperoxy-mediated *p*-benzoquinone ring contraction^{87a} (Figure S1, Supporting Information). Two new antibiotic alkaloids, pyrrocidines A (**106a**) and B (**106b**), based on rare 13-membered macrocyclic



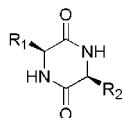
rings, previously reported from an unidentified fungal strain, have been found to occur in the maize endophyte *Acremonium zeae*.²¹ It has been shown that this endophyte interferes with colony growth of kernel rotting and mycotoxin-producing fungi *Aspergillus flavus* and *Fusarium verticillioides* in cultural pairings and produced pyrrocidines, suggesting that *A. zeae* is a “protective endophyte” of maize.

A series of new weakly cytotoxic cytochalasins, aspochalasins I (**107a**), J (**107b**), and K (**108a**), together with four known members of this series, aspochalasins C (**109a**), D (**109b**), E (**108b**), and TMC-169 (**109c**), have been isolated from *Aspergillus flavipes* inhabiting the rhizosphere of *Ericameria laricifolia*.⁸⁶ Plant-associated microorganisms are also known to produce a variety of bioactive cyclic peptides. Among these, diketopiperazines (e.g., **110a–110g**) are the most common. The sulfur-containing diketopiperazine, gliovictin (**111**), has been isolated from *Penicillium janczewskii* endophytic in *Prumnopitys andina*.⁵² Investigation of an unidentified fungal strain (No. 2524) endophytic in the seed of the mangrove plant *Avicinnia marina* has led to the characterization of the new cyclic pentapeptide **112** with no discernible inhibitory activity toward proliferating cancer cell lines.⁷¹

Some Implications of the Occurrence of Plant-Associated Microorganisms and Their Metabolites. The ability of organisms to form long-term, intimate, and diverse relationships with each other, as is the case with plants and their associated microorganisms, is currently recognized as a common ecological phenomenon. According to Siegel and Bush,⁹³ “symbiosis, as a general term, does not imply detriment or benefit, but rather that the outcome (net effect) of species interaction exists within a symbiotic continuum or ‘species interaction grid’ that includes agonism (predation and disease) and mutualism (benefits for both species).” Examples of species interaction that span the symbiotic continuum, affecting the

ecological fitness of the host, include endophytic fungi of the genus *Epichloë* (Clavicipitaceae) and some grass species (Poaceae). For this symbiosis, a mutualism–parasitism continuum has recently been proposed.⁹⁴ Infected grasses rarely show external signs of the endophyte, but may have enhanced ecological fitness⁹⁵ and tolerance to both biotic and abiotic environmental stresses.⁹⁶ It is noteworthy that in many cases the tolerance to biotic stresses have been correlated with natural products, especially bioprotective pyrrolizidine, indole, and pyrrolopyrazine alkaloids, produced by the grass–endophyte associations that act in a defensive mutualism.^{96,97} Various endophytes have been found to play important roles in host plant vitality. Production of two macrocyclic alkaloids, pyrrocidines A (**106a**) and B (**106b**), with antibiotic activity, by the endophytic fungus *Acremonium zeae*, has been implicated recently in the protection of its host, maize, against the pathogenic and mycotoxin-producing fungi *Aspergillus flavus* and *Fusarium verticillioides*.²¹ It is significant that the majority of natural products occurring in endophytic microorganisms have been shown to have antimicrobial activity (Table 1), and in many cases these have been implicated in protecting the host plant against phytopathogenic microorganisms.


The recent observation of extensive colonization of native grasses growing in semiarid rangelands of the southwestern United States by dark septate endophytic fungi and their atypical morphology has led to the suggestion that these fungi enhance the performance of their hosts, thus promoting their survival in this stressed environment.⁹⁸ In a study involving the grass species *Dichanthelium lanuginosum* (Poaceae), collected from geothermal soils in Lassen Volcanic and Yellowstone National Parks, it was found that an endophytic fungal isolate, *Curvularia* sp., conferred thermotolerance to the host, probably as a result of the production of cell wall melanin that may dissipate heat along the fungal hyphae and/or



110a R₁ = H, R₂ = -CH(CH₃)₂, *Cyclo* (Gly-Val)

110b R₁ = H, R₂ = CH₂Ph, *Cyclo* (Phe-Gly)

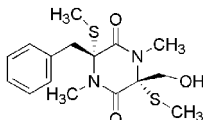
110c R₁ = R₂ = CH₂Ph, *Cyclo* (Phe-Phe)

110d R₁ = -CH₂CH(CH₃)₂, R₂ = CH₂-, *Cyclo* (Leu-Tyr)

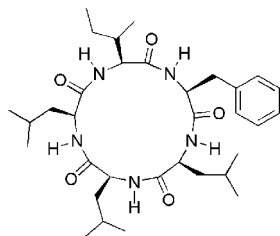
110e R₁ = CH₃, R₂ = -CH₂CH(CH₃)₂, *Cyclo* (Ala-Leu)

110f R₁ = CH₃, R₂ = -CH(CH₃)CH₂CH₃, *Cyclo* (Ala-Ile)

110g R₁ = -CH₂OH, R₂ = -CH₂CH(CH₃)₂, *Cyclo* (Ser-Leu)



111 Gliovictin



112 *Cyclo*-(L-Phe-L-Leu¹-L-Leu²-L-Leu³-L-Ile)

complex with oxygen radicals generated during heat stress.^{99a} It has recently been demonstrated that this fungal endophyte is also capable of thermoprotecting other plants including tomato, watermelon, and wheat.^{99b} Several Sonoran desert plant-associated fungi that produce heat shock protein-90 (Hsp90) inhibitors were recently identified in an extensive program of screening these organisms for biologically relevant small molecule natural products.³⁰ In an attempt to investigate if production of these small molecule secondary metabolites by fungal symbionts might enhance the stress tolerance of their host plants by inducing the evolutionarily conserved heat shock response, monocillin I (**30a**) elaborated by the rhizosphere fungus *Paraphaeosphaeria quadrisepitata*⁸⁹ was found to bind to Hsp90 and induce components of the heat shock response at the transcriptional and translational levels, leading to the acquisition of a thermotolerant phenotype in seedlings of the model plant *Arabidopsis thaliana*; more significantly, it was also found that the growth of *A. thaliana* with the fungus can also confer thermotolerance.¹⁰⁰ These findings are consistent with previous observations (see above) that plant-fungal symbiosis can enhance thermotolerance in the wild,⁹⁹ and they define a specific molecular mechanism of potential agricultural significance whereby mutualistic fungi may manipulate plant Hsp90 to enhance host plant survival in stressful environments.

In a recent study of beneficial plant-microbe interactions, the cultivable plant-root-colonizing endophytic fungus *Piriformospora indica* (Basidiomycetes), discovered in the Indian Thar desert, was found to have significant growth-promoting effects on a variety of crop plants.¹⁰¹ When the cereal model plant barley (*Hordeum vulgare* L.) was infected with *P. indica*, it was found to have several beneficial effects, including the growth-promoting activity resulting in enhanced barley grain yield, amended tolerance to mild salt stress, and conferred resistance in barley against root and leaf pathogens.¹⁰² Further studies have demonstrated that the systematically altered "defense readiness" of barley by this endophyte is associated with an elevated antioxidative capacity due to an activation of the glutathione-ascorbate cycle and that the endophyte might induce systemic disease resistance by an as yet unknown signaling

pathway,¹⁰² probably involving a small molecule natural product. On the basis of the data obtained, these authors have suggested consideration of *P. indica* as a tool for sustainable agriculture.

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Supporting Information Available: Figure showing biogenetic relationship of compounds **100** and **103–105**. This information is available free of charge via the Internet at <http://pubs.acs.org>.

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